

**CYNGOR SIR POWYS COUNTY COUNCIL.**

**Audit Committee  
27th February 2018**

**REPORT AUTHOR: County Councillor Aled Davies  
Portfolio Holder for Finance**

**SUBJECT: Regulatory Tracker Update QTR3 17/18**

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**REPORT FOR: Information & Decision**

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**1. Regulatory Tracker**

1.1 This overview report summarises progress with regards to recommendations received from the Council's regulators, predominantly Wales Audit Office (WAO), Estyn and Care Inspectorate Wales (CIW). In considering the report and appendices, the council should be satisfied that:

- Appropriate action is being taken in response to recommendations received.
- Where actions are complete and desired outcomes achieved or embedded as required, recommendations may be archived in the tracker with approval from Strategic Overview Board and Management Team with evaluation from Audit Committee.
- New recommendations are appropriate for inclusion in the tracker.

1.2 The Tracker will be a fixed agenda item on both Management Team and Strategic Overview board. The tracker will be referred to Audit Committee for review to provide challenge and for evaluation.

## **2. Overview of Regulatory Tracker**

- 2.1 At end of QTR3 2017/18, the overview of the tracker provides the following view of progress against National and Local recommendations received by the council.
- 2.2 Of the **64** recommendations made in Local and National studies there are currently **2** marked as Blue (Complete) **2** Local and **0** National.
- 2.3 Of the **64** recommendations **0** (**0** National and **0** Local) are marked Red (not on track or have major issues or no planned action undertaken to date).
- 2.4 The **3** Recommendations RAG'd Blue at the end of QTR2 have been archived in accordance with the tracker process having approval from Audit Committee **2nd February 2018**. These recommendations can be found on the blue tabs within the tracker spreadsheet.
- 2.5 The Council didn't receive any new reports locally and no national reports have been published since the last quarter.
- 2.6 At Audit committee on **2nd February 2018** it was agreed that the cross referencing and consolidation exercise to eliminate duplication across corporate plans and the regulatory tracker would continue.
- 2.7 **QTR3** has seen progression in regards to the consolidation exercise with the Finance elements currently undertaking a review. There is no update in relation to the Finance elements on the tracker for Audit Committee this quarter. The aim of this piece of work will be to remove duplication of process and free up capacity which would otherwise be taken provided the same update multiple times. Once a position has been concluded any items moving to the CLGP, AIP, CIP and Vision 2025 programmes will be referenced within the regulatory tracker.

3. Red Recommendations Requiring action

Ref	Recommendation	Review Type	Date Received	Service	Position Statement	Responsible Officer

#### 4. Blue Recommendations proposed to be archived

Ref	Recommendation	Review Type	Date Received	Service	Position Statement	Responsible Officer
<b>CSSIW6</b>	The Council needs to strengthen its relationships with and oversight of domiciliary care providers operating in Powys, including setting up regular meetings and clear lines of communication with the commissioning managers to address ongoing concerns and queries.	<b>Local</b>	<b>01/05/2015</b>	<b>Older People</b>	Market development was formalised in autumn 2017 Provider forums are held bi-monthly and will continue ongoing Regular contract meetings are held on a regular basis	<b>Lee Anderson</b>
<b>CSSIW11</b>	The low uptake of carer assessments needs to be further investigated to understand the needs of this group of people and how they can be supported in their role.	<b>Local</b>	<b>01/05/2015</b>	<b>Older People</b>	<p>The Carers Support contract with Credu identifies and supports young carers within a school context and they continue to work with and support carers of all age. Credu were commissioned to publish a Carers Information Advice and Assistance booklet in Powys and this booklet was published in October 2017. It is available both online and as a printed document. Carers Champions are established across a range of agencies with more recruitment underway.</p> <p>Adult Social Care is also striving to adopt good practice in terms of listening and giving carers time to participate in assessments in their own right, along with providing a timely response to assist them in their caring role. There have been delays in assessments being undertaken in some areas because of staffing capacity but the data is currently showing a significant increase in the percentage of carers being offered an assessment up to almost 95%.</p> <p>This work now needs to be mainstreamed as daily work, rather than a regulatory recommended development and, as such, it is recommended that</p>	<b>Louise Barry/Dylan Owen</b>

					<p>this recommendation is closed down as of 1st April 2018.</p> <p>Number of assessments of need for support for carers undertaken and of those: 168 11 59</p> <p>- the number of assessments that led to a support plan 32 1 11</p> <p>Number of carer assessments offered that were refused by carers during the year 133 10 24</p>	
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<b>Recommendation:</b>	<b>Reason for Recommendation:</b>
<b>Recommendation 1:</b> That 2 recommendations ( <b>status blue</b> ) are approved to be archived by decision of the Audit Committee.	Recommendations were planned, action is complete and desired outcomes have been achieved / embedded as required.

<b>Relevant Policy (ies):</b>			
<b>Within Policy:</b>	<b>Y</b>	<b>Within Budget:</b>	<b>Y</b>
<b>Relevant Local Member(s):</b>			
<b>Person(s) To Implement Decision:</b>			
<b>Date By When Decision To Be Implemented:</b>			

<b>Contact Officer Name:</b>	<b>Tel:</b>	<b>Fax:</b>	<b>Email:</b>
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